

Attention Investors

1. Prevent Unauthorised transactions in your account. Update your mobile numbers/email IDs with your stock brokers. Receive information of your transactions directly from Exchange on your mobile/email at the end of the day. Issued in the interest of investors Attention Investors: Prevent Unauthorized Transactions in your Demat account - Update your Mobile Number with your Depository Participant. Receive alerts on your Registered Mobile for all”
2. There is no assurance or guarantee of the returns and clients/investors remain vigilant from dealing in any schemes of unauthorised collective investments/portfolio management, indicative/ guaranteed/fixed returns / payments etc.

Attention Investors

1. Stock Brokers can accept securities as margin from clients only by way of pledge in the depository system w.e.f. September 1, 2020
2. Update your mobile number & email Id with your stock broker/depository participant and receive OTP directly from depository on your email id and/or mobile number to create pledge.
3. Pay 20% upfront margin of the transaction value to trade in cash market segment
4. Investors may please refer to the Exchange's Frequently Asked Questions (FAQs) issued vide circular reference NSE/INSP/45191 dated July 31, 2020 and NSE/INSP/45534 and BSE vide notice no. 20200731-7 dated July 31, 2020 and 20200831-45 dated August 31, 2020 dated August 31, 2020 and other guidelines issued from time to time in this regard
5. Check your Securities /MF/ Bonds in the consolidated account statement issued by CDSL every month. Issued in the interest of Investors"

Precautions for clients dealing in Options

This with reference to NSE Notice NSE/INSP/52900 and BSE 20220706-55 dated July 06, 2022 we wish to inform & educate clients to abstain from dealing in any schemes of unauthorised collective investments/portfolio management, indicative/guaranteed/fixed returns/payments etc. and also make them aware on the following practices :

- a) Refrain from Sharing of trading credentials – login id & passwords including OTP’s, trading strategies, position details with anyone.
- b) Trading in leveraged products like options without proper understanding, which could lead to losses
- c) Writing/ selling options or trading in option strategies based on tips, without basic knowledge & understanding of the product and its risks
- d) Refrain from Dealing in unsolicited tips through Whatsapp, Telegram, YouTube, Facebook, SMS, calls, etc.

e) Refrain from Trading in “Options” based on recommendations from unauthorised / unregistered investment advisors and influencers

However in order to trade in Derivative segment kindly update financial information / income details on periodically basis

For any further query kindly coordinate with our KYC department on 022 – 40350127/128 or you may email us at kyc@lmspl.com.