

UNDERTAKING FOR SERVICES BY WAY OF SMS ALERTS BY TRADING MEMBER ON MOBILE / CELLULAR PHONES

NON - MANDATORY

I/We are having a trading account with yourself for the purpose of trading on National Stock Exchange of India Ltd, / Bombay Stock Exchange Ltd, / MCX-SX Exchange Ltd, / USE Stock Exchange Ltd, as per the client registration form executed.

I/We have registered the mobile number

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 for receiving SMS alerts in respect of various services being offered by the Trading Member.

A. In respect of investment/trading advisory services received from the trading member, I/We undertake to the trading member and confirm to use our judgement in taking a call on the said investment(s).

I/We also undertake to the trading member and confirm that I/we execute trades in the identified security(s) according to my/our financial strength/capability.

I/We declare and agree that the trading member shall not be responsible for any loss suffered by me on account of executing or omitting to execute any trades in pursuance of the SMS alerts(s) and/or investment advises sent by the trading member.

I/we shall not have any claim whatsoever against the trading member in respect of the above mentioned acts or omissions.

I hereby state that my number is not under Do Not disturb directory and I am availing this services on my own will and there will be no financial obligation of LMSPL in case of legal disputes.

B. In respect of all other intimation services offered by the trading member, I/We undertake to indemnify the trading member and absolve the trading member of any claims on account of various services rendered to me/us in respect of servicing my trading account with them.

Client's Name

X _____
Client's Signature

CONSENT FOR DELIVERY OF ELECTRONIC DOCUMENTS

NON - MANDATORY

I/We hereby authorize Latin Manharlal Securities Pvt. Ltd, (hereinafter referred to as LMSPL) to send the following, digitally signed documents, with respect to my/our trading account.

1. Electronic contract note / contract cum bill(s) (ECN)/confirmation notes as applicable.
2. Funds and securities Ledger
3. Statement of Margin and collateral
4. Securities transaction tax (STT) statements
5. Other relevant documents supplementing any/all of the above

I/We hereby authorise LMSPL to send the above mentioned documents (hereinafter referred to as electronic documents) on the following email id(s).

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I/We hereby agree that the 'electronic documents' that shall be sent by LMSPL from time to time to my designated email id(s) shall be deemed to have delivered to me/us.

Non receipt of bounced mail at LMSPL end shall amount to delivery of 'electronic documents' at the email id(s) mentioned above. Also non delivery of 'electronic documents' due to the problem related to network, internet or other reasons resulting into problems of non receipt of emails at my/our end shall not be the responsibility of LMSPL. However in such event it is my/our responsibility to intimate LMSPL about the non receipt of 'electronic documents' by email within 24 hours thereof. If no such intimation is received by you from my/our end, it shall be presumed that the 'electronic documents' has been properly delivered.

I am given to understand that LMSPL simultaneously publishes the digital contracts on its designated website / online portal www.latinmanharlal.com in order to further strengthen the electronic communication channel.

In case of any change in my/our said email id, I/We undertake to intimate the same to you in writing.

Client's Name

Date :

X _____
Client's Signature